



FORM PTO - 1449

SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT

ATTORNEY DOCKET NO.: LEX-004C1

APPLICANT(S): Gillies

SERIAL NO.: 10/714,165 CONF. NO.: 2258

FILING DATE: November 14, 2003 GROUP: 1641

## U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
PBT	A111	4,469,797	9/4/84	Albarella			
	A112	4,816,567	3/28/89	Cabilly <i>et al.</i>			
	A113	4,946,778	8/7/90	Ladner <i>et al.</i>			
	A114	4,975,369	12/4/90	Beavers <i>et al.</i>			
	A115	5,019,368	5/28/91	Epstein <i>et al.</i>			
	A116	5,225,539	7/6/93	Winter			
	A117	5,349,053	9/20/94	Landolfi			
	A118	5,480,981	1/2/96	Goodwin <i>et al.</i>			
	A119	5,585,089	12/17/96	Queen <i>et al.</i>			
	A120	5,609,846	3/11/97	Goldenberg			
	A121	5,614,184	3/25/97	Sytkowski <i>et al.</i>			
	A122	5,650,150	7/22/97	Gillies			
	A123	5,679,543	10/21/97	Lawlis			
	A124	5,709,859	1/20/98	Aruffo <i>et al.</i>			
	A125	5,712,120	1/27/98	Rodriguez <i>et al.</i>			
	A126	5,723,125	3/3/98	Chang <i>et al.</i>			
	A127	5,726,044	3/10/98	Lo <i>et al.</i>			
	A128	5,728,552	3/17/98	Fujisawa <i>et al.</i>			
	A129	5,770,195	6/23/98	Hudziak <i>et al.</i>			
	A130	5,807,715	9/15/98	Morrison <i>et al.</i>			
	A131	5,827,516	10/27/98	Urban <i>et al.</i>			
	A132	5,827,703	10/27/98	Debs <i>et al.</i>			
	A133	5,837,821	11/17/98	Wu <i>et al.</i>			
	A134	5,843,423	12/1/98	Lyman <i>et al.</i>			
	A135	5,888,773	3/30/99	Jost <i>et al.</i>			
	A136	5,908,626	6/1/99	Chang <i>et al.</i>			

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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
P6T	A137	6,086,875	7/11/00	Blumberg <i>et al.</i>			
	A138	6,100,387	8/8/00	Herrmann <i>et al.</i>			
	A139	6,169,070	1/2/01	Chen <i>et al.</i>			
	A140	6,291,158	9/18/01	Winter <i>et al.</i>			
	A141	6,335,176	1/1/02	Inglese <i>et al.</i>			
	A142	6,335,176	01/01/02	Inglese <i>et al.</i>			
	A143	6,444,792	9/3/02	Gray <i>et al.</i>			
	A144	6,475,717	11/5/02	Enssle <i>et al.</i>			
	A145	6,485,726	11/26/02	Blumberg <i>et al.</i>			
	A146	6,500,641	12/31/02	Chen <i>et al.</i>			
	A147	6,551,592	4/22/03	Lindhofer <i>et al.</i>			
	A148	6,617,135	9/9/03	Gillies <i>et al.</i>			
	A149	6,620,413	09/16/03	DeSavage <i>et al.</i>			
	A150	6,627,615	9/30/03	Debs <i>et al.</i>			
	A151	6,646,113	11/11/03	Dreyfuss <i>et al.</i>			
	A152	6,750,329	6/15/04	Rosenblum <i>et al.</i>			
	A153	6,838,260	01/04/05	Gillies <i>et al.</i>			
	A154	2003/0105294	6/5/03	Gillies <i>et al.</i>			
	A155	2003/0139365	7/24/03	Lo <i>et al.</i>			
	A156	2003/0139575	7/24/03	Gillies			
	A157	2003/0157054	8/21/03	Gillies <i>et al.</i>			
	A158	2003/0166163	9/4/03	Gillies			
	A159	2003/0166877	9/4/03	Gillies <i>et al.</i>			
	A160	2004/0033210	2/19/04	Gillies			
	A161	2004/0043457	3/4/04	Schumacher <i>et al.</i>			
	A162	2004/0053366	3/18/04	Lo <i>et al.</i>			
EXAMINER P6C				DATE CONSIDERED 2/1/6			

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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
PBT	A163	2004/0072299	4/15/04	Gillies <i>et al.</i>			
	A164	2004/0082039	4/29/04	Gillies <i>et al.</i>			
	A165	2004/0180386	9/16/04	Carr <i>et al.</i>			
	A166	2004/0203100	10/14/04	Gillies <i>et al.</i>			
	A167	2005/0069521	03/31/05	Gillies <i>et al.</i>			

EXAMINER	PBT	DATE CONSIDERED	2/1/6
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FOREIGN PATENT DOCUMENTS									
EXAM INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
Pkt	B108	0 294 703 A2	12/14/88	EP					Y
	B109	0 308 936 B1	3/29/89	EP					Y
	B110	0 314 317 B1	5/3/89	EP					Y
	B111	0 318 554 B1	6/7/89	EP					Y
	B112	0 326 120 B1	8/2/89	EP					Y
	B113	0 344 134 B1	01/19/94	EP					Y
	B114	0 350 230 A2	1/10/90	EP					Y
	B115	0 375 562 B1	6/27/90	EP					Y
	B116	0 396 387 A2	11/7/90	EP					Y
	B117	0 428 596 B1	4/17/96	EP					Y
	B118	0 439 095 A2	7/31/91	EP					Y
	B119	0 511 747 A1	11/4/92	EP					Y
	B120	0 519 596 A1	13/32/92	EP					Y
	B121	0 601 043 B1	6/15/94	EP					Y
	B122	0 699 755 A2	3/6/96	EP					Y
	B123	0 706 799 A2	4/17/96	EP					Y
	B124	1 088 888 A1	4/4/01	EP					Y
	B125	21725/88	3/23/89	AU					Y
	B126	2 188 638	10/7/87	GB					Y
	B127	WO 86/01533	3/13/86	PCT					Y
	B128	WO 88/00052	1/14/88	PCT					Y
	B129	WO 88/09344	12/1/88	PCT					Y

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FORM PTO - 1449 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT					ATTORNEY DOCKET NO.: LEX-004C1 APPLICANT(S): Gillies SERIAL NO.: 10/714,165    CONF. NO.: 2258 FILING DATE: November 14, 2003    GROUP: 1641				
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EXAM INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
P05	B130	WO 89/02922	4/6/89	PCT					Y
	B131	WO 89/09620	10/19/89	PCT				Y	Y
	B132	WO 91/00360	1/10/91	PCT					Y
	B133	WO 91/04329	04/04/91	PCT					Y
	B134	WO 91/08298	6/13/91	PCT					Y
	B135	WO 91/13166	9/5/91	PCT					Y
	B136	WO 91/14438	10/3/91	PCT					Y
	B137	WO 92/02240	2/20/92	PCT					Y
	B138	WO 92/08495	5/29/92	PCT					Y
	B139	WO 92/08801	5/29/92	PCT					Y
	B140	WO 92/10755	6/25/92	PCT					Y
	B141	WO 92/16562	10/1/92	PCT					Y
	B142	WO 93/03157	2/18/93	PCT					Y
	B143	WO 94/25609	11/10/94	PCT					Y
	B144	WO 95/05468	2/23/95	PCT					Y
	B145	WO 95/21258	8/10/95	PCT					Y
	B146	WO 95/31483	11/23/95	PCT					Y
	B147	WO 96/04388	02/15/96	PCT					Y
	B148	WO 96/08570	3/21/96	PCT					Y
	B149	WO 96/18412	6/20/96	PCT					Y
	B150	WO 96/40792	12/19/96	PCT					Y
	B151	WO 97/00317	1/3/97	PCT					Y
	B152	WO 97/00319	1/3/97	PCT					Y

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EXAM INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
POT	B153	WO 97/24137	7/10/97	PCT					Y
	B154	WO 97/24440	7/10/97	PCT					Y
	B155	WO 97/30089	8/21/97	PCT					Y
	B156	WO 97/33617	9/18/97	PCT					Y
	B157	WO 97/33619	9/18/97	PCT					Y
	B158	WO 97/34631	9/25/97	PCT					Y
	B159	WO 97/43316	11/20/97	PCT					Y
	B160	WO 98/00127	1/8/98	PCT					Y
	B161	WO 98/28427	7/2/98	PCT					Y
	B162	WO 98/30706	7/16/98	PCT					Y
	B163	WO 98/46257	10/22/98	PCT					Y
	B164	WO 98/52976	11/26/98	PCT					Y
	B165	WO 98/59244	12/30/98	PCT					Y
	B166	WO 99/02709	01/21/99	PCT					Y
	B167	WO 99/03887	01/28/99	PCT					Y
	B168	WO 99/29732	6/17/99	PCT					Y
	B169	WO 99/43713	9/2/99	PCT					Y
	B170	WO 99/52562	10/21/99	PCT					Y
	B171	WO 00/01822	1/13/00	PCT					Y
	B172	WO 00/34317	06/15/00	PCT					Y
	B173	WO 02/079232	10/10/02	PCT					Y

EXAMINER: <i>POT</i>	DATE CONSIDERED: <i>2/1/6</i>
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OTHER ART, JOURNAL ARTICLES, ETC.		
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)	
P6T	C262	Adkins et al., (1998), "Edrecolomab (Monoclonal Antibody 17-1A)," Drugs, 56(4):619-626.
	C263	Anderson et al., (1980), "Characterization of the Fc Receptor for IgG on a Human Macrophage Cell Line U937," J. Immunol., 125(6):2735-41.
	C264	Baici et al., (1980), "Kinetics of the Different Susceptibilities of the Four Human Immunoglobulin G Subclasses to Proteolysis by Human Lysosomal Elastase," Scand. J. Immunol. 12(1):41-50.
	C265	Boulianne et al., (1984), "Production of Functional Chimaeric Mouse/Human Antibody," Nature, 312:643-6.
	C266	Bourgeois et al., (1974), "Determination of the Primary Structure of a Mouse IgG2a Immunoglobulin: Amino-Acid Sequence of the Fc Fragment: Implications for the Evolution of Immunoglobulin Structure and Function," Eur. J. Biochem., 43:423-35.
	C267	Brambell et al., (1964), "A Theoretical Model of $\gamma$ -Globulin Catabolism," Nature, 203:1352-55.
	C268	Brekke et al., (1994), "Human IgG Isotype-Specific Amino Acid Residues Affecting Complement-Mediated Cell Lysis and Phagocytosis," Eur. J. Immunol., 24:2542-2547.
	C269	Bubenik et al., (1995), "Interleukin-2 Gene Therapy of Residual EL-4 Leukaemia Potentiates the Effect of Cyclophosphamide Pretreatment," J. Cancer Res. Clin. Oncol., 121:39-43.
	C270	Chan et al., (1992), "Mechanisms of IFN- $\gamma$ Induction by Natural Killer Cell Stimulatory Factor (NKSF/IL-12). Role of Transcription and mRNA Stability in the Synergistic Interaction Between NKSF and IL-2," J. Immunol., 148:92-98.
	C271	Chappel et al., (1991), "Identification of the Fc Gamma Receptor Class I Binding Site In Human IgG Through Use of Recombinant IgG1/IgG2 Hybrid and Point-Mutated Antibodies," Proc. Natl. Acad. Sci. USA, 88(20):9036-40.
	C272	Cirulli et al., (1998), "KSA Antigen Ep-CAM Mediates Cell-Cell Adhesion of Pancreatic Epithelial Cells: Morphoregulatory Roles in Pancreatic Islet Development," J. Cell Biol., 140:1519-34.
	C273	Cohen et al., (1998), "An Artificial Cell-Cycle Inhibitor Isolated from a Combinatorial Library," Proc. Natl. Acad. Sci. USA, 95:14272-7.
	C274	Ellison et al., (1982), "The Nucleotide Sequence of a Human Immunoglobulin C $\gamma$ 1 Gene," Nucleic Acids Res., 10:4071-9.
	C275	Ghetie et al., (1997), "FcRn: The MHC Class I-Related Receptor that is More Than an IgG Transporter," Immunology Today, 18(12):592-598.

EXAMINER	P6T	DATE CONSIDERED	2/1/6
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OTHER ART, JOURNAL ARTICLES, ETC.			
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
P05	C276	Gurewich et al., (1988), "Characterization of the Intrinsic Fibrinolytic Properties of Pro-Urokinase Through a Study of Plasmin-Resistant Mutant Forms Produced by Site-Specific Mutagenesis of Lysine," J. Clin. Invest., 82:1956-1962.	
	C277	Hornick et al., (1999), "Pretreatment with a Monoclonal Antibody/Interleukin-2 Fusion Protein Directed Against DNA Enhances the Delivery of Therapeutic Molecules to Solid Tumors," Clin. Cancer Research, 5:51-60.	
	C278	Hulett et al., (1994), "Molecular Basis of Fc Receptor Function," Adv. Immunol., 57:1127.	
	C279	Hurn et al., (1980), "Production of Reagent Antibodies," Methods in Enzymology, 70: 104-142.	
	C280	Huston et al., (1988), "Protein Engineering of Antibody Binding Sites: Recovery of Specific Activity in an Anti-Digoxin Single-Chain Fv Analogue Produced In Escherichia Coli," Proc. Natl. Acad. Sci. USA, 85:5879-5883.	
	C281	Isaacs et al., (1998), "Therapy with Monoclonal Antibodies. II. The Contribution of Fcγ Receptor Binding and the Influence of CH1 and CH3 Domains on In Vivo Effector Function," J. Immunol., 161:3862-3869.	
	C282	Jefferis et al., (1990), "Molecular Definition of Interaction Sites on Human IgG for Fc Receptors huFcγR," Mol. Immunol., 27(12):1237-1240.	
	C283	Lanza et al., (1993), "Active Immunity against the CD4 Receptor by Using an Antibody Antigenized with Residues 41-55 of the First Extracellular Domain," Proc. Natl. Acad. Sci. USA, 90:11683-7.	
	C284	MacLean et al., (1996), "Enhancing the Effect of Theratope STn-KLH Cancer Vaccine in Patients with Metastatic Breast Cancer by Pretreatment with Low-Dose Intravenous Cyclophosphamide," J. Immunother., 19(4):309-316.	
	C285	Mariani et al., (1997), "Tumor Targeting Potential of the Monoclonal Antibody BC-1 against Oncofetal Fibronectin in Nude Mice Bearing Human Tumor Implants," Cancer, 80:2378-84.	
	C286	Martin et al., (2001), "Crystal Structure at 2.8 Å of an FcRn/Heterodimeric Fc Complex: Mechanism of pH-Dependent Binding," Mol. Cell., 7(4):867-77.	
	C287	Mateo et al., (2000), "Removal of Amphipathic Epitopes from Genetically Engineered Antibodies: Production of Modified Immunoglobulins with Reduced Immunogenicity," Hybridoma, 19(6):463-471.	
	C288	McMahan et al., (1991), "A Novel IL-1 Receptor, Cloned From B-Cells by Mammalian Expression is Expressed in Many Cell Types," EMBO J., 10:2821-32.	
	C289	Menard et al., (1983), "Generation of Monoclonal Antibodies Reacting with Normal and Cancer Cells of Human Breast," Cancer Res., 43:1295-300.	
	C290	Miyake et al., (1988), "Synthesis of Recombinant Human Single-Chain Urokinase-Type Plasminogen Activator Variants Resistant to Plasmin and Thrombin," J. Biochem., 104:643-647.	
EXAMINER		DATE CONSIDERED	
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OTHER ART, JOURNAL ARTICLES, ETC.			
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
Pb	C291	Morrison et al., (1984), "Chimeric Human Antibody Molecules: Mouse Antigen-Binding Domains with Human Constant Region Domains," Proc. Natl. Acad. Sci. USA, 81:6851-5.	
	C292	Nastala et al., (1994), "Recombinant IL-12 Administration Induces Tumor Regression in Association with IFN- $\gamma$ Production," J. Immunol., 153:1697-706.	
	C293	Neal et al., (2003), "NXS2 Murine Neuroblastomas Express Increased Levels of MHC Class I Antigens upon Recurrence Following NK-Dependent Immunotherapy," Cancer Immunol. Immunother., 53:41-52.	
	C294	Nelles et al., (1987), "Characterization of Recombinant Human Single Chain Urokinase-Type Plasminogen Activator Mutants Produced by Site-Specific Mutagenesis of Lysine 158," J. Biol. Chem., 262(12):5682-5689.	
	C295	Orlandi et al., (1989), "Cloning Immunoglobulin Variable Domains for Expression by the Polymerase Chain Reaction," Proc. Natl. Acad. Sci. USA, 86:3833-7.	
	C296	Pedley et al. (1999), "Enhancement of Antibody-Directed Enzyme Prodrug Therapy in Colorectal Xenografts by an Antivascular Agent," Cancer Res., 59:3998-4003.	
	C297	Poon et al., (1995), "Structure and Function of Several Anti-Dansyl Chimeric Antibodies Formed by Domain Interchanges Between Human IgM and Mouse IgG2b," J. Biol. Chem., 270:8571-7.	
	C298	Queen et al., (1989), "A Humanized Antibody that Binds to the Interleukin 2 Receptor," Proc. Natl. Acad. Sci. USA, 86:10029-33.	
	C299	Riechmann et al., (1988), "Reshaping Human Antibodies for Therapy," Nature, 332:323-7.	
	C300	Robinson et al., (1998), "Optimizing the Stability of Single-Chain Proteins by Linker Length and Composition Mutagenesis," Proc. Natl. Acad. Sci. USA, 95:5929-34.	
	C301	Sakano et al., (1980), "Two Types of Somatic Recombination are Necessary for the Generation of Complete Immunoglobulin Heavy-Chain Genes," Nature, 286:676-683.	
	C302	Schwartzberg et al., (2001), "Clinical Experience with Edrecolomab: A Monoclonal Antibody Therapy for Colon Carcinoma," Critical Reviews in Oncology/Hematology, 40:17-24.	
	C303	Senior et al., (2000), "Cleavage of a Recombinant Human Immunoglobulin A2 (IgA2)-IgA1 Hybrid Antibody by Certain Bacterial IgA1 Proteases," Infect. Immun., 68(2):463-9.	
	C304	Sharp et al., (1988), "Codon Usage Patterns in Escherichia coli, Bacillus subtilis, Saccharomyces cerevisiae, Schizosaccharomyces pombe, Drosophila melanogaster and Homo sapiens; a Review of the Considerable Within-Species Diversity," Nucleic Acids Res., 16(17):8207-8211.	
	C305	Takai, (2002), "Roles of Fc Receptors in Autoimmunity," Nat. Rev. Immunol., 2(8):580-92.	

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OTHER ART, JOURNAL ARTICLES, ETC.			
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
P109	C306	Tiruppathi et al., (1996), "Isolation and Characterization of a Cell Surface Albumin-Binding Protein from Vascular Endothelial Cells," Proc. Nat. Acad. Sci. USA, 93:250-4.	
	C307	Voest et al., (1995), "Inhibition of Angiogenesis in Vivo by Interleukin 12," J. Natl. Canc. Inst., 87:581-6.	
	C308	Ward et al., (1995), "The Effector Functions of Immunoglobulins: Implications for Therapy," Therapeutic Immunology, 2:77-94.	
	C309	Weber et al., (2001), "Phase I Trial of huKS-IL2 Immunocytokine in Patients with Prostate Carcinoma: Clinical, PK, and Biological PD Results (Abstract)," American Society of Clinical Oncology Program/Proceedings, 20(Part 1):259a.	
	C310	Weitkamp et al., (1973), "Additional Data on the Population Distribution of Human Serum Albumin Genes; Three New Variants," Ann. Hum. Genet., 37:219-26.	
	C311	Wells, (1990), "Additivity of Mutational Effect in Proteins," Biochemistry, 29(37):8509-8517.	
	C312	Woof et al., (1986), "Localisation of the Monocyte-Binding Region on Human Immunoglobulin G," Mol. Immunol., 23:319-30.	
	C313	Wysocka et al., (1995), "Interleukin-12 is Required for Interferon- $\gamma$ Production and Lethality in Lipopolysaccharide-Induced Shock in Mice," Eur. J. Immunol., 25:672-6.	
	C314	Xu et al., (1994), "Residue at Position 331 in the IgG1 and IgG4 CH2 Domains Contributes to Their Differential Ability to Bind and Activate Complement," J. Biol. Chem., 269(5):3469-3474.	
	C315	Yan et al., (1996), "Characterization of an Ig VH Idiotope that Results in Specific Homophilic Binding and Increased Avidity for Antigen," J. Immunol., 157:1582-8.	
	C316	Yeh et al., (1992), "Design of Yeast-Secreted Albumin Derivatives for Human Therapy: Biological and Antiviral Properties of a Serum Albumin-CD4 Genetic Conjugate," Proc. Natl. Acad. Sci. USA, 89:1904-8.	
	C317	Yu et al., (1998), "Phase I Trial of a Human-Mouse Chimeric Anti-Disialoganglioside Monoclonal Antibody ch14.18 in Patients with Refractory Neuroblastoma and Osteosarcoma," J. Clinical Oncology, 16(6):2169-80.	
	C318	Zhu et al., (2001), "MHC Class I-Related Neonatal Fc Receptor for IgG is Functionally Expressed in Monocytes, Intestinal Macrophages and Dendritic Cells," J. Immunol., 166:3266-3276.	
	C319	Zuckier et al., (1998), "Chimeric Human-Mouse IgG Antibodies with Shuffled Constant Region Exons Demonstrate that Multiple Domains Contribute to In Vivo Half-Life," Cancer Res., 58(17):3905-8.	

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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
P65	A97	5,601,819	2/11/97	Wong et al.					
	A98	5,891,680	4/6/99	Lieschke et al.					
	A99	5,994,104	11/30/99	Anderson et al.					
	A100	6,429,199	8/6/02	Krieg et al.					
	A101	6,551,592	4/22/03	Lindhofer et al.					
	A102	6,646,113	11/11/03	Dreyfuss et al.					
	A103	2002/0037558	3/28/02	Lo et al.					
	A104	2002/0146388	10/10/02	Gillies					
	A105	2003/0139365	7/24/03	Lo et al.					
	A106	2003/0139575	7/24/03	Gillies					
	A107	2004/0033210	2/19/04	Gillies					
	A108	2004/0053366	3/18/04	Lo et al.					
	A109	2004/0072299	4/15/04	Gillies et al.					
	A110	2004/0082039	4/29/04	Gillies et al.					
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
P65	B107	WO 93/20185	10/14/93	PCT					
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
P65	C239	Chapman et al., (1994), "Mapping Effector Functions of a Monoclonal Antibody to GD3 by Characterization of a Mouse-Human Chimeric Antibody," <u>Cancer Immuno. Immunother.</u> , 39:198-204.							
	C240	Cruse et al., (eds.), (1995), <u>Illustrated Dictionary of Immunology</u> , p.158, CRC Press, NY.							
	C241	de la Salle et al., (1996), "FcγR on Human Dendritic Cells," in <u>Human IgG Receptors</u> , pp. 39-55, van de Winkel et al. (eds.), R.G. Landes Co.							
EXAMINER					DATE CONSIDERED				
P65					2/1/6				

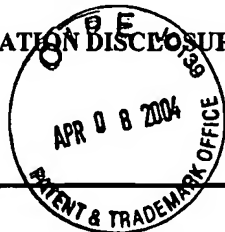
FORM PTO - 1449		ATTORNEY DOCKET NO.: LEX-004C1	
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT		APPLICANT: Gillies	
		SERIAL NO.: 10/714,165	
		FILING DATE: November 14, 2003 GROUP: 1641	
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
PbT	C242	Dorai et al., (1991), "Aglycosylated Chimeric Mouse/Human IgG1 Antibody Retains Some Effector Function," <u>Hybridoma</u> , 10(2):211-217.	
	C243	Dorai et al., (1992), "Role of Inter-Heavy and Light Chain Disulfide Bonds in the Effector Functions of Human IgG1," <u>Molecular Immunology</u> , 29(12):1487-1491.	
	C244	Elliott et al., (1996), "Fine-Structure Epitope Mapping of Antierythropoietin Monoclonal Antibodies Reveals a Model of Recombinant Human Erythropoietin Structure," <u>Blood</u> , 87(7):2702-2713.	
	C245	Gillies et al., (1991), "Targeting Human Cytotoxic T Lymphocytes to Kill Heterologous Epidermal Growth Factor Receptor-Bearing Tumor Cells: Tumor-Infiltrating Lymphocyte/Hormone Receptor/Recombinant Antibody," <u>J. Immunology</u> , 146(3):1067-1071.	
	C246	Handgretinger et al., (1995), "A Phase I Study of Human/Mouse Chimeric Anti-ganglioside GD2 Antibody ch14.18 in Patients with Neuroblastoma," <u>European J. Cancer</u> , 31A(2):261-267.	
	C247	Hurn et al., (1980), "Production of Reagent Antibodies," <u>Methods in Enzymology</u> , 70: 104-142.	
	C248	Isenman et al., (1975), "The Structure and Function of Immunoglobulin Domains: II. The Importance of Interchain Disulfide Bonds and the Possible Role of Molecular Flexibility in the Interaction between Immunoglobulin G and Complement," <u>J. Immunology</u> , 114(6):1726-1729.	
	C249	Lo et al., (1992), "Expression and Secretion of an Assembled Tetrameric CH2-deleted Antibody in <i>E. Coli</i> ," <u>Hum. Antibod. Hybridomas</u> , 3:123-128.	
	C250	Maecker et al., (1997), "DNA Vaccination with Cytokine Fusion Constructs Biases the Immune Response to Ovalbumin," <u>Vaccine</u> , 15(15):1687-1696.	
	C251	Mueller et al., (1990), "Enhancement of Antibody-Dependent Cytotoxicity With A Chimeric Anti-GD2 Antibody," <u>J. Immunology</u> , 144(4):1382-1386.	
	C252	Mueller et al., (1990), "Serum Half-Life and Tumor Localization of a Chimeric Antibody Deleted of the CH2 Domain and Directed Against the Disialoganglioside GD2," <u>Proc. Natl. Acad. Sci. USA</u> , 87:5702-5705.	
	C253	Naramura et al., (1993), "Therapeutic Potential of Chimeric and Murine Anti-(Epidermal Growth Factor Receptor) Antibodies in a Metastasis Model for Human Melanoma," <u>Cancer Immuno. Immunother.</u> , 37:343-349.	
	C254	Reisfeld et al., (1994), "Potential of Genetically Engineered Anti-Ganglioside GD2 Antibodies for Cancer Immunotherapy," <u>Prog. Brain Res.</u> , 101:201-212.	
	C255	Saleh et al., (1992), "Phase I Trial of the Chimeric Anti-GD2 Monoclonal Antibody ch14.18 in Patients With Malignant Melanoma," <u>Hum. Antibod. Hybridomas</u> , 3:19-24.	
	C256	Sallusto et al., (1994), "Efficient Presentation of Soluble Antigen by Cultured Human Dendritic Cells Is Maintained by Granulocyte/Macrophage Colony-stimulating Factor Plus Interleukin 4 and Downregulated by Tumor Necrosis Factor $\alpha$ ," <u>J. Exp. Med.</u> , 179:1109-1118.	
EXAMINER	PbT		DATE CONSIDERED 2/1/0

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		FILING DATE: November 14, 2003 GROUP: 1641
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)	
P66	C257	Schlom (1991), "Monoclonal Antibodies: They're More and Less Than You Think," in <u>Molecular Foundations of Oncology</u> , pp. 95-133.
	C258	Wen et al., (1994), "Erythropoietin Structure-Function Relationships: Identification of Functionally Important Domains," <u>J. Biological Chemistry</u> , 269(36):22839-22846.
	C259	International Preliminary Examination Report for Patent Application Serial No. PCT/US99/08335, mailed on July 31, 2000, 11 pages.
	C260	International Search Report for Patent Application Serial No. PCT/US99/08335, mailed on October 8, 1999, 4 pages.
	C261	Written Opinion for Patent Application Serial No. PCT/US99/08335, mailed on April 28, 2000, 7 pages.
EXAMINER	DATE CONSIDERED	

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INFORMATION DISCLOSURE STATEMENT



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## U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
P6T	A1	07/348,237	5/5/89	Rosenblum et al.			
	A2	4,196,265	4/1/80	Koprowski et al.			
	A3	4,469,797	9/4/84	Albarella			
	A4	4,676,980	6/30/87	Segal et al.			
	A5	4,703,008	10/27/87	Lin			
	A6	4,816,567	3/28/89	Cabilly et al.			
	A7	4,946,778	8/7/90	Ladner et al.			
	A8	5,019,368	5/28/91	Epstein et al.			
	A9	5,073,627	12/17/91	Curtis et al.			
	A10	5,114,711	5/19/92	Bell et al.			
	A11	5,116,964	5/26/92	Capon et al.			
	A12	5,199,942	4/6/93	Gillis			
	A13	5,225,538	7/6/93	Capon et al.			
	A14	5,225,539	7/6/93	Winter			
	A15	5,258,498	11/2/93	Huston et al..			
	A16	5,314,995	5/24/94	Fell, Jr. et al.			
	A17	5,349,053	9/20/94	Landolfi			
	A18	5,359,035	10/25/94	Habermann			
	A19	5,441,868	8/15/95	Lin			
	A20	5,457,038	10/10/95	Trinchieri et al.			
	A21	5,514,582	5/7/96	Capon et al.			
	A22	5,538,866	7/23/96	Israeli et al.			
	A23	5,541,087	7/30/96	Lo et al.			
	A24	5,543,297	8/6/96	Cromlish, et al.			
	A25	5,547,933	8/20/96	Lin			
	A26	5,552,524	09/03/96	Basinski et al.			
	A27	5,585,089	12/17/96	Queen et al.			
	A28	5,609,846	3/11/97	Goldenberg			
EXAMINER				DATE CONSIDERED			

P6T

2/1/06

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<b>U.S. PATENT DOCUMENTS</b>							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
PbT	A29	5,618,698	4/8/97	Lin			
	A30	5,624,821	4/29/97	Winter et al.			
	A31	5,639,725	6/17/97	O'Reilly et al.			
	A32	5,645,835	7/8/97	Fell, Jr. et al.			
	A33	5,650,150	7/22/97	Gillies			
	A34	5,650,492	7/22/97	Gately et al.			
	A35	5,667,776	9/16/97	Zimmerman et al.			
	A36	5,679,543	10/21/97	Lawlis			
	A37	5,688,679	11/18/97	Powell			
	A38	5,691,309	11/25/97	Basinski et al.			
	A39	5,709,859	1/20/98	Aruffo et al.			
	A40	5,719,266	02/17/98	DiMarchi et al.			
	A41	5,723,125	3/3/98	Chang et al.			
	A42	5,726,044	3/10/98	Lo et al.			
	A43	5,728,552	3/17/98	Fujisawa et al.			
	A44	5,733,876	3/31/98	O'Reilly et al.			
	A45	5,756,349	5/26/98	Lin			
	A46	5,756,461	05/26/98	Stephens			
	A47	5,759,551	6/2/98	Ladd et al.			
	A48	5,770,195	6/23/98	Hudziak et al.			
	A49	5,800,810	9/1/98	Doyle et al.			
	A50	5,807,715	9/15/98	Morrison et al.			
	A51	5,827,516	10/27/98	Urban et al.			
	A52	5,837,682	11/17/98	Folkman et al.			
	A53	5,843,423	12/1/98	Lyman et al.			
	A54	5,854,205	12/29/98	O'Reilly et al.			
	A55	5,856,298	1/5/99	Strickland			
	A56	5,858,347	1/12/99	Bauer et al.			
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			

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<b>INFORMATION DISCLOSURE STATEMENT</b>				<b>APPLICANT(S): Gillies</b>			
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<b>U.S. PATENT DOCUMENTS</b>							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
P61	A57	5,885,795	3/23/99	O'Reilly et al.			
	A58	5,886,178	3/23/99	Allen et al.			
	A59	5,888,772	3/30/99	Okasinski et al.			
	A60	5,908,626	6/1/99	Chang et al.			
	A61	5,922,685	7/13/99	Rakhmilevich et al.			
	A62	5,955,422	9/21/99	Lin			
	A63	5,994,126	11/30/99	Steinman et al.			
	A64	6,080,409	6/27/00	Laus et al.			
	A65	6,086,875	7/11/00	Blumberg et al.			
	A66	6,100,387	8/8/00	Herrmann et al.			
	A67	6,169,070	1/2/01	Chen et al.			
	A68	6,171,588	1/9/01	Carron et al.			
	A69	6,231,536	5/15/01	Lentz			
	A70	6,277,375	8/21/01	Ward			
	A71	6,284,536	9/4/01	Morrison et al.			
	A72	6,335,176	1/1/02	Inglese et al.			
	A73	6,340,742	1/22/02	Burg et al.			
	A74	6,348,192	2/19/02	Chan et al.			
	A75	6,406,689	6/18/02	Falkenberg et al.			
	A76	6,444,792	9/3/02	Gray et al.			
	A77	6,475,717	11/5/02	Enssle et al.			
	A78	6,485,726	11/26/02	Blumberg et al.			
	A79	6,506,405	1/14/03	Desai et al.			
	A80	6,583,272	6/24/03	Bailon			
	A81	6,586,398	7/1/03	Kinstler et al.			
	A82	6,617,135	9/9/03	Gillies et al.			
	A83	2001/0053539	12/20/01	Lauffer et al.			
	A84	2002/0081664	6/27/02	Lo et al.			
<b>EXAMINER</b> P61				<b>DATE CONSIDERED</b> 2/1/6			



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<b>U.S. PATENT DOCUMENTS</b>							
<b>EXAM. INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUB CLASS</b>	<b>FILING DATE IF APPROPRIATE</b>
PBT	A85	2002/0142374	10/3/02	Gallo et al.			
	A86	2002/0147311	10/10/02	Gillies et al.			
	A87	2002/0192222	12/19/02	Blumberg et al.			
	A88	2002/0193570	12/19/02	Gillies et al.			
	A89	2003/0003529	1/2/03	Bayer			
	A90	2003/0044423	3/6/03	Gillies et al.			
	A91	2003/0049227	3/13/03	Gillies et al.			
	A92	2003/0105294	6/5/03	Gillies et al.			
	A93	2003/0012789	1/6/03	Blumberg et al.			
	A94	2003/0157054	8/21/03	Gillies et al.			
	A95	2003/0166163	9/4/03	Gillies			
	A96	2003/0166877	9/4/03	Gillies et al.			
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			
PBT				2/1/06			

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				<b>FILING DATE: November 14, 2003</b>		<b>GROUP: 1641</b>			
<b>FOREIGN PATENT DOCUMENTS</b>									
EXAM INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
PP	B1	0 158 198 A1	10/16/85	EP					Y
	B2	0 211 769 A2	2/25/87	EP					Y
	B3	0 256 714 A2	2/24/88	EP					Y
	B4	0 294 703 A2	12/14/88	EP					Y
	B5	0 308 936 B1	3/29/89	EP					Y
	B6	0 314 317 B1	5/3/89	EP					Y
	B7	0 318 554 B1	6/7/89	EP					Y
	B8	0 319 012 A2	6/7/89	EP					Y
	B9	0 326 120 B1	8/2/89	EP					Y
	B10	0 350 230 A2	1/10/90	EP					Y
	B11	0 375 562 B1	6/27/90	EP					Y
	B12	0 396 387 A2	11/7/90	EP					Y
	B13	0 439 095 A2	7/31/91	EP					Y
	B14	0 511 747 A1	11/4/92	EP					Y
	B15	0 601 043 B1	6/15/94	EP					Y
	B16	0 640 619 A1	3/1/95	EP					Y
	B17	0 668 353 A1	8/23/95	EP					Y
	B18	0 706 799 A2	4/17/96	EP					Y
	B19	0 790 309 A1	8/20/97	EP					Y
	B20	1 088 888 A1	4/4/01	EP					Y
	B21	21725/88	3/23/89	AU					Y
	B22	93100115.3	7/14/93	CN					N
	B23	93100115.3	7/14/93	CN				Y	Y
	B24	37 12985 A1	11/2/88	DE					N
	B25	37 12985	11/2/88	DE				Y	Y
	B26	2 292 382 A	2/21/96	GB					Y
	B27	63-267278	11/4/88	JP					N
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>				

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EXAM INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
B6T	B28	63-267278	11/4/88	JP				Y	Y
	B29	63-267296	11/4/88	JP					N
	B30	63-267296	11/4/88	JP				Y	Y
	B31	0 237 019 A2	9/16/87	EP				English counterpart of JP 63- 267296	Y
	B32	WO 86/01533	3/13/86	PCT					Y
	B33	WO 88/00052	1/14/88	PCT					Y
	B34	WO 88/09344	12/1/88	PCT					Y
	B35	WO 89/02922	4/6/89	PCT					Y
	B36	WO 89/09620	10/19/89	PCT				Abstract in English	N
	B37	WO 90/03801	4/19/90	PCT					Y
	B38	WO 91/00360	1/10/91	PCT					Y
	B39	WO 91/04329	04/04/91	PCT					Y
	B40	WO 91/08298	6/13/91	PCT					Y
	B41	WO 91/13166	9/5/91	PCT					Y
	B42	WO 91/14438	10/3/91	PCT					Y
	B43	WO 92/02240	2/20/92	PCT					Y
	B44	WO 92/08495	5/29/92	PCT					Y
	B45	WO 92/08801	5/29/92	PCT					Y
	B46	WO 92/16562	10/1/92	PCT					Y
	B47	WO 93/03157	2/18/93	PCT					Y
	B48	WO 93/10229	5/27/93	PCT					Y
	B49	WO 94/24160	10/27/94	PCT					Y
	B50	WO 94/25055	11/10/94	PCT					Y
	B51	WO 95/05468	2/23/95	PCT					Y
	B52	WO 95/21258	8/10/95	PCT					Y
	B53	WO 95/28427	10/26/95	PCT					Y
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EXAM INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
P68	B54	WO 95/31483	11/23/95	PCT					Y
	B55	WO 96/04388	02/15/96	PCT					Y
	B56	WO 96/05309	2/22/96	PCT					Y
	B57	WO 96/08570	3/21/96	PCT					Y
	B58	WO 96/18412	6/20/96	PCT					Y
	B59	WO 96/31526	10/10/96	PCT					Y
	B60	WO 97/00317	1/3/97	PCT					Y
	B61	WO 97/00319	1/3/97	PCT					Y
	B62	WO 97/15666	5/1/97	PCT					Y
	B63	WO 97/20062	6/5/97	PCT					Y
	B64	WO 97/24137	7/10/97	PCT					Y
	B65	WO 97/24440	7/10/97	PCT					Y
	B66	WO 97/26335	7/24/97	PCT					Y
	B67	WO 97/30089	8/21/97	PCT					Y
	B68	WO 97/33617	9/18/97	PCT					Y
	B69	WO 97/33619	9/18/97	PCT					Y
	B70	WO 97/34631	9/25/97	PCT					Y
	B71	WO 97/43316	11/20/97	PCT					Y
	B72	WO 98/00127	1/8/98	PCT					Y
	B73	WO 98/06752	2/19/98	PCT					Y
	B74	WO 98/28427	7/2/98	PCT					Y
	B75	WO 98/30706	7/16/98	PCT					Y
	B76	WO 98/46257	10/22/98	PCT					Y
	B77	WO 98/59244	12/30/98	PCT					Y
	B78	WO 99/02709	01/21/99	PCT					Y
	B79	WO 99/03887	01/28/99	PCT					Y
	B80	WO 99/29732	6/17/99	PCT					Y
EXAMINER					DATE CONSIDERED				

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FOREIGN PATENT DOCUMENTS									
EXAM INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
PBT	B81	WO 99/43713	9/2/99	PCT					Y
	B82	WO 99/52562	10/21/99	PCT					Y
	B83	WO 99/53958	10/28/99	PCT					Y
	B84	WO 99/60128	11/25/99	PCT					Y
	B85	WO 99/62944	12/09/99	PCT					Y
	B86	WO 99/66054	12/23/99	PCT					Y
	B87	WO 00/11033	3/2/00	PCT					Y
	B88	WO 00/24893	5/4/00	PCT					
	B89	WO 00/34317	06/15/00	PCT					Y
	B90	WO 00/40615	7/13/00	PCT					Y
	B91	WO 00/68376	11/16/00	PCT					Y
	B92	WO 00/69913	11/23/00	PCT					Y
	B93	WO 00/78334	12/28/00	PCT					Y
	B94	WO 01/07081	2/1/01	PCT					Y
	B95	WO 01/10912	2/15/01	PCT					Y
	B96	WO 01/36489	5/25/01	PCT					Y
	B97	WO 01/58957	8/16/01	PCT					
	B98	WO 02/02143	1/10/02	PCT					
	B99	WO 02/066514	8/29/02	PCT					Y
	B100	WO 02/072605	9/19/02	PCT					
	B101	WO 02/079232	10/10/02	PCT					
	B102	WO 02/079415	10/10/02	PCT					
	B103	WO 02/090566	11/14/02	PCT					
	B104	WO 03/015697	2/27/03	PCT					Y
	B105	WO 03/048334	6/12/03	PCT					
	B106	WO 03/077834	9/25/03	PCT					Y
EXAMINER					DATE CONSIDERED				

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INFORMATION DISCLOSURE STATEMENT		APPLICANT(S): Gillies	
		SERIAL NO.: 10/714,165	CONF. NO.: 2258
		FILING DATE: November 14, 2003 GROUP: 1641	
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
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	C3	Afonso et al., (1994), "The Adjuvant Effect of Interleukin-12 in a Vaccine Against Leishmania Major," <u>Science</u> , 263:235-237.	
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	C14	Becker et al., (1996), "Long-lived and Transferable Tumor Immunity in Mice after Targeted Interleukin-2 Therapy," <u>J. Clin. Invest.</u> , 98(12):2801-2804.	
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	C36	Chaudhary et al., (1989), "A Recombinant Immunotoxin Consisting of Two Antibody Variable Domains Fused to <i>Pseudomonas</i> Exotoxin," <u>Nature</u> , 339:394-397.	
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	C47	D'Andrea et al., (1992), "Production of Natural Killer Cell Stimulatory Factor (Interleukin 12) by Peripheral Blood Mononuclear Cells," <u>J. Exp. Med.</u> , 176:1387-1398.	
	C48	Darling et al., (2002), "Glycosylation of Erythropoietin Affects Receptor Binding Kinetics: Role of Electrostatic Interactions," <u>Biochemistry</u> , 41:14524-14531.	
	C49	Davis et al., (2003), "Immunocytokines: Amplification of Anti-cancer Immunity," <u>Cancer Immunol. Immunother.</u> , 52:297-308.	
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P6 [Signature]		2/1/6	



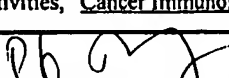
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EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
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INFORMATION DISCLOSURE STATEMENT		APPLICANT(S): Gillies	
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		FILING DATE: November 14, 2003	GROUP: 1641
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
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EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
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